

January 17, 2024

Corporate Relations Department BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai – 400 001 Scrip Code: **501301**  National Stock Exchange of India Ltd. Exchange Plaza Bandra-Kurla Complex Bandra (E) Mumbai 400 051 Symbol:**TATAINVEST** 

Dear Sir/Madam,

Sub: Regulation 31 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015.

We confirm the statement under Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, in respect of the Shareholding Pattern of equity shares of our Company for the quarter ended December 31, 2023.

This is for your information and records.

Yours faithfully,

For Tata Investment Corporation Limited

**Jamshed Patel** 

**Company Secretary and Chief Compliance Officer** 

Tata In	vestment Corporation Limited																	
	Summary Statement holding of specified securities																	
	. , ,																	
													Sharehol			Number o	f Shares	
							Sharehold						ding , as a			pledged o		
							ing as a %	Number o	f Voting Rig	hts held in	each class	Underlyin		Number o	f Locked in			
							of total	of securiti				g	assuming	shares		encumber	ed	Number
				No. of	No. of		no. of					Outstandi						of equity
			No. of	Partly	shares		shares					•	conversio					shares
			fully paid		underlyin		(calculate	No of Voti	ng Rights			convertibl	-		As a % of		As a % of	
			up equity		g	Total nos.					Total as a		convertibl		total		total	demateri
		sharehold			Depositor		,	Class eg:	Class eg:			securities			Shares		Shares	alised
Category	Category of shareholder		held	held	y Receipts	held	1957)	Х	y		(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(E	X)		(X)	(XI)=	()	(II)	(X	(III)	(XIV)
(A)	Promoter & Promoter Group	8	37128947	0	0	37128947		37128947	0	37128947	73.3842	0	73.3842	0	0	0	0	37128947
(B)	Public	114262	13466349	0	0	13466349	26.6158	13466349	0	13466349	26.6158	0	26.6158	0	0	NA	NA	13250128
(C)	Non Promoter - Non Public				0				0			0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	C
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total	114270	50595296	0	0	50595296	100	50595296	0	50595296	100	0	100	0	0	0	0	50379075

Tata In	vestment Corporation Limited																			
	- Statement showing shareholding pattern of the Pro	mater and Draw	otor Croun																	+
rable II -	- Statement snowing snareholding pattern of the Pro-	moter and Prom	loter Group																	
							No. of		Sharehold ing % calculate	Number of Voting Rights held in each class		No. of Shares Underlyin g Outstandi	assuming	Number of Locked i		Number of Shares pledged or n otherwise encumbered		Number of equity		
					fully paid		shares underlyin		d as per SCRR,	No of Voti	ng Rights			ng convertibl	conversio n of		As a % of		As a % of	shares held in
					up equity		g Depositor	Total nos.		Class eg:	Class and		% of Total		convertibl		total Shares		total Shares	demateri
	Category & Name of the shareholders	Entity Type	PAN	sharehold ers	held	shares held	y Receipts		(A+B+C2)	v	ciass eg.	Total	Rights	securities (including	securities	No. (a)		No. (a)	held(b)	alised form
	(I)	Littly Type	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		//	X)	itigiits	(X)	(XI)=		(II)		XIII)	(XIV)
1	Indian		\"/	(,	(,	(*)	(*')	(*, -	(2111) A3 a		,	., I		(^)	(////-	(/	, T	<b>'</b>	Τ	(///*/
(a)	Individuals / Hindu Undivided Family			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Central Government / State Government(s)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)			8	37128947	-	0	37128947	73.3842	37128947	0	37128947	73.3842	0	73.3842	0	0	1	0 /	0 37128947
	Bodies Corporate			8	37128947	C	0	37128947	73.3842	37128947	0	37128947	73.3842	0	73.3842	0	C		o r	0 37128947
	Tata Sons Private Limited	Promoter		1	34664663	C	0	34664663	68.5136	34664663	0	34664663	68.5136	0	68.5136	0	C	) (	) (	0 34664663
	Ewart Investments Limited	Promoter Group		1	805843	C	0	805843	1.5927	805843	0	805843	1.5927	0	1.5927	0	C	) (	) (	0 805843
	The Tata Power Company Limited	Promoter Group		1	794416	C	0	794416	1.5701	794416	0	794416	1.5701	0	1.5701	0	C	) (	) (	0 794416
	Tata Chemicals Limited	Promoter Group		1	441015	C	0	441015	0.8717	441015	0	441015	0.8717	0	0.8717	0	C	) (	) (	0 441015
	Tata Steel Limited	Promoter Group		1	228015	C	0	228015	0.4507	228015	0	228015	0.4507	0	0.4507	0	C	) (	) (	0 228015
	Tata Consumer Products Limited	Promoter Group		1	146872	C	0	146872	0.2903	146872	0	146872	0.2903	0	0.2903	0	C	) (	) (	0 146872
	Trent Limited	Promoter Group		1	31793	C	0	31793	0.0628	31793	0	31793	0.0628	0	0.0628	0	C	) (	) (	0 31793
	Trent Brands Limited	Promoter Group		1	16330	C	0	16330	0.0323	16330	0	16330	0.0323	0	0.0323	0	C	) (	) (	0 16330
	Sir Ratan Tata Trust	Promoter Group		0	0	C	0	0	0	0	0	0	0	0	0	0	C	) (	) (	) (
	Sub Total (A)(1)			8	37128947	C	0	37128947	73.3842	37128947	0	37128947	73.3842	0	73.3842	0	C	1	) (	0 37128947
2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)			0	0		0	0	0	0	0	0	q	0	0	o	C		0	0 0
(b)	Government			0	0	1 0	0	0	0	U	0	0		0	0		1 0		) (	) (
(c)	Institutions			0	0	1 9	) 0	0	0	0	0	0	0	0	0	0	1 9	<u>'</u>	1 (	J (
(d)	Foreign Portfolio Investor			0	0	1 0	0	0	0	0	0	0	0	0	0	0	1 0	<u> </u>	) (	) (
(e)	Any Other (Specify)			0	0	1	) 0	0	0	,	0	0	0	0	0	0	1 0		) (	) (
	Sub Total (A)(2)			0	0	1 0	0	0	0	0	0	0	0	0	0	0	1 0	<u> </u>	) (	) (
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)			8	37128947		0	37128947	73.3842	37128947	0	37128947	73.3842	0	73.3842	0		,	0	0 37128947

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen

#### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s)
  (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Tata In	vestment Corneration Limited																					
	vestment Corporation Limited - Statement showing shareholding pattern	of the Public sh	nareholde	r																		
	otatement snorm g snaren oran g pattern		10101010																			
													No. or	Snarenoid	1		Number o	f Shares				•
								Sharehold					Shares Underlyin	ing , as a ∞			pledged o					
								ing %	Number o		ts held ir	each class	ondenyiii	assuming		f Locked in	otherwise		Number			
						No. of		calculate	of securiti	es			6 Outstandi		shares		encumber	ed	of equity	Sub-categ	orization of	shares
				No. of	Partly	shares		d as per					ng	conversio					shares			
				fully paid		underlyin		SCRR,				Total as a				As a % of		As a % of	held in		ing(No. of	hares)
			Nos. of	up equity		σ	Total nos.	1957 As a	No of Voti	ng Kignts		% of Total	e	convertib		total		total		under Sub-	ISub-	ISub-
			sharehold	shares	shares	Depositor		% of	Class eg:	Class eg:		Voting	securities			Shares		Shares	alised		category(i	
	Category & Name of the shareholders	PAN	ers	held	held	y Receipts		(A+B+C2)	X	v	Total	Rights		securities	No. (a)		No. (a)	held(b)	form	)	i)	ii)
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)	19	(X)	(XI)=		(II)		(III)	(XIV)	<u> </u>	(XV)	17
1	Institutions (Domestic)	` '	· '	` '	` '	, ,	` ′	, ,		<u> </u>	ľ		` '	` '	,	ľ	`	ľ	· ,		T '	
(a)	Mutual Fund		8	24361	0	0	24361	0.0481	24361	0	24361	0.0481	. 0	0.0481		0	NA	NA	24361		0	
(b)	Venture Capital Funds		(	0	0	0	0	0	0	0	(	0	0		) (	0	NA	NA	C	)		
(c)	Alternate Investment Funds		(	0	0	0	0	0	0	0	(	0	0	C	) (	0	NA	NA	0	)		
(d)	Banks		10	4898	0	0	4898	0.0097	4898	0	4898		0	0.0097	7 (		NA	NA	2566	6	0	
(e)	Insurance Companies			197416	0	0	197416	0.3902	197416	0	197416	0.3902	0	0.3902	2		NA	NA	197116	0	0	
(f)	Provident Funds/ Pension Funds		(	0	0	0	0	0	0	0	(	0	0	C	0 0		NA	NA	C	)		
(G)	Asset Reconstruction Companies		(	0	0	0		0	0	0	(	0	0	C	0		NA	NA	0			
(h)	Sovereign Wealth Funds		(		0	0		0	0	0		0	0	C	) (		NA	NA	C			
(i)	NBFCs registered with RBI		1	299	0	0		0.0006	299			0.0006	0		6 0		NA	NA	299	0	0	
(j)	Other Financial Institutions		(		0	0		0	0	0	(	0	0	,	) (		NA	NA	0			
(k)	Any Other (Specify)		(		0	0		0	0	0	(	0	0		) (		NA	NA				
	Sub Total (B)(1)		22	226974	0	0	226974	0.4486	226974	0	226974	0.4486	0	0.4486	5 0	0	NA	NA	224342	2 0	0	<u> </u>
2	Institutions (Foreign)		ļ	ļ		ļ						ļ				ļ		ļ	ļ	ļ		<u> </u>
(a)	Foreign Direct Investment		(	0	0	0	0	0	0	0	(	0	0	C	) (		NA	NA	0	)		
(b)	Foreign Venture Capital Investors		(	0	0	0		0	0	0	(	0	0		) (		NA	NA	C	)		
(c)	Sovereign Wealth Funds		(		0	0		0	0	0	,	0	0		) (		NA	NA	0			ļ
(d)	Foreign Portfolio Investors Category I		47			0		1.1184		0			0				NA	NA	565874			ļ
(e)	Foreign Portfolio Investors Category II			41958		0	41958	0.0829	41958	0	41958	0.0829	0	0.0829	9 0	0	NA	NA	41958	3 0	0	<u> </u>
(0)	Overseas Depositories(holding DRs) (balancing		l .											۔ ا			J		١.			Ì
(†) (ø)	figure)		9	0		0	0	0.0001	0	0	(	0.0001	0	0.0001	) (		NA	NA NA	0	)		<u> </u>
(g)	Any Other (Specify)		-	75		0 0		0.0001	75 75		75		. 0	0.0001			NA NA	NA NA		0 0		<del> </del>
	Foreign Bank Sub Total (B)(2)		53	607907		0 0		1.2015			607907		. 0	1.2015			NA NA	NA NA	607832		0	├──
2	Central Government/ State Government(s)		53	607907		, U	607907	1.2015	60/90/	U	607907	1.2015	1 0	1.2015	, ,	, u	INA	NA	60/832			├──
3 (a)	Central Government / President of India		-								,	<u> </u>	_	,			NA	NA				<del>                                     </del>
(a) (b)	State Government / Governor		<u> </u>	250363		0 0	250363	0.4948	250363	0	250363	0.4948	·	`	2 6		) NA	NA	205356		0	<del>                                     </del>
(5)	Shareholding by Companies or Bodies Corporate		<u> </u>	230303		1 0	230303	0.4348	230303		230303	0.4348	1 0	0.4340	,	1 0	INA	INA	203330	, ,		
	where Central / State Government is a																					Ì
(C)	promoter		۱ ،	ه ا		م ا		0	0	0						ه ا	NA	NA		,		Ì
(0)	Sub Total (B)(3)			250363	-	0	250363	0.4948	250363	0	250363	0.4948	0	0.4948	3 (		NA	NA	205356		0	1
4	Non-Institutions		<u> </u>	0		0		0.13.0	0	0	250500	0.15.0	0	0.1510			NA	NA	200000			
(a)	Associate companies / Subsidiaries			0	0			0	0	0		) 0	0		) (		NA	NA	C			
. ,		1	<del>                                     </del>	† Ť		† Ť	Ť	Ť	Ť	1	<u> </u>	†	Ť	<u> </u>	1	† Ť			<del>-                                    </del>	1		
	Directors and their relatives (excluding		1	1		1						1				1		1	1	1		
(b)	Independent Directors and nominee Directors)		6	36152	0	0	36152	0.0715	36152	0	36152	0.0715	0	0.0715	5 0	0	NA	NA	36152	2 0	0	
(C)	Key Managerial Personnel		1	. 5	0	0	5	0	5	0		0	0		) (	0	NA	NA	5		0	
			Ì																			
	Relatives of promoters (other than 'immediate		1											l								
	relatives' of promoters disclosed under		1											l								
(D)	'Promoter and Promoter Group' category)			0	0	0	0	0	0	0		0	0	C	0	0	NA	NA	0	)		<u> </u>
	Trusts where any person belonging to 'Promoter																					
	and Promoter Group' category is		1	1		1						1				1		1	1	1		
(E)	'trustee','beneficiary', or 'author of the trust"		(	0	0	0	0	0	0	0	(	0	0		) (	0	NA	NA	0	)		<u> </u>
			1	1		1						1		1		1		1	1	1		
(f)	Investor Education and Protection Fund (IEPF)		1	211262		0	211262	0.4176	211262	0	211262	0.4176	0	0.4176	5 0	0	NA	NA	211262	2 0	0	<u> </u>
	i. Resident Individual holding nominal share		1											l								
(g)	capital up to Rs. 2 lakhs.		109451	7274576	0	0	7274576	14.378	7274576	0	7274576	14.378	0	14.378	3 0	0	NA	NA	7111694		0	<b></b>
	ii. Resident individual holding nominal share		1									l .		l				1				1
(h)	capital in excess of Rs. 2 lakhs.		39			0	3011413	5.952			3011413		0	5.952			NA	NA	3011413		0	<del>                                     </del>
(1)	Non Resident Indians (NRIs)		2062	493943	- 0	0		0.9763	493943	0	493943	0.9763	0		1 9		NA NA	NA	493642	. 0	0	—
(I)	Foreign Nationals		<u> </u>	0	-	0		0	0	0		1 0	0		, ,		NA NA	NA	0			—
(K)	Foreign Companies	ļ	46		0	0		1.8698	046013	0	946013	1 0000	0	1.8698	) (		NA NA	NA	940912	2 0	_	<del>                                     </del>
(1)	Bodies Corporate	1	467			0							1 0					NA			0	<del> </del>
(m)	Any Other (Specify)		2153	407741		0	407741	0.8059	407741	0	407741	0.8059	1 0	0.8059	ין כ	ט וי	NA	NA	407518	) C	0	1

Trusts	12	15132	0	0	15132	0.0299	15132	0	15132	0.0299	0	0.0299	0	0	NA	NA	15132	0	0	0
Escrow Account	1	222	0	0	222	0.0004	222	0	222	0.0004	0	0.0004	0	0	NA	NA	0	0	0	0
Body Corp-Ltd Liability Partnership	43	92652	0	0	92652	0.1831	92652	0	92652	0.1831	0	0.1831	0	0	NA	NA	92652	0	0	0
Hindu Undivided Family	2089	299519	0	0	299519	0.592	299519	0	299519	0.592	0	0.592	0	0	NA	NA	299518	0	0	0
Clearing Member	8	216	0	0	216	0.0004	216	0	216	0.0004	0	0.0004	0	0	NA	NA	216	0	0	0
Sub Total (B)(4)	114180	12381105	0	0	12381105	24.4709	12381105	0	12381105	24.4709	0	24.4709	0	0	NA	NA	12212598	0	0	0
Total Public Shareholding (B)=																				
(B)(1)+(B)(2)+(B)(3)+b(4)	114262	13466349	0	0	13466349	26.6158	13466349	0	13466349	26.6158	0	26.6158	0	0	NA	NA	13250128	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Ν	Io. of shareholders	No. of Shares	%
0			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
0	0

- Note: (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category, should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:
- (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director)on the board of the listed entity.
- (ii) Shareholder who have entered into shareholder agreement with the listed entity.
- (iii) Shareholders acting as persons in concert with promoters.

	vestment Corporation Limited																		
Table IV	- Statement showing shareholding pattern of the No	on Promotei	- Non Public	sharehol	der														
													No. of Shares	Sharehol ding, as a			Number o		
						No. of		Iding %	Number of		ghts held in	n each	Underlyin g	% assuming	Number o	f Locked ir	otherwise	e	Number
				No. of	Partly	shares underlyin		calculate d as per	cluss or se	currences			Outstandi ng	full conversio			Circumsci		of equity shares
			Nos. of	fully paid		g Depositor	Total nos		No of Vot	ng Rights		Total as a	convertib	n of convertib		As a % of total		As a % of total	held in demateri
			sharehold	up equity shares	shares	y	shares		Class eg:	Class eg:		Total as a % of	securities			Shares		Shares	alised
	Category & Name of the shareholders	PAN	ers	held	held	Receipts	held	(A+B+C2)	х	у	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)		(X)	(XI)=	()	(II)	()	XIII)	(XIV)
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust / Employee Welfare Trust under																		
	SEBI (Share based Employee Benefits and Sweat Equity)																		
2	Regulations, 2021		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)=																		
	(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

#### Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
  (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
  (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

#### Tata Investment Corporation Limited

### Table V- Statement showing details of significant beneficial owners

s of the sig	gnificant be	eneficial ow	Details o	f the regist	ered owner (II)	Particu	lars of the shares in which significant beneficial interest is held by the beneficial owner (III)	Date of creation / acquisition of significant beneficial interest (IV)
Name	PAN	Nationality	Name	PAN	Nationality	mber of Sha	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
ot Applicat	ole"	"N	ot Applicab	ole"	"N	ot Applicab	le"	"Not Applicable"

# **Tata Investment Corporation Limited**

## **Annexure B**

Table VI - Statement showing foreign ownership limits

	Board approved limits	Limits utilized
As on shareholding date	100	1.65
As on the end of previous 1st quarter	100	1.51
As on the end of previous 2nd quarter	100	1.61
As on the end of previous 3rd quarter	100	1.48
As on the end of previous 4th quarter	100	1.49