



30th March, 2022

Corporate Relations Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001
Scrip Code: 501301

National Stock Exchange of India Ltd.
Exchange Plaza
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051
Symbol: TATAINVEST

Dear Sirs/Madam,

**Sub :Intimation under Regulation 30 of the Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Pursuant to Regulation 30 read with Schedule III Part A Para A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform that the enclosed circulars are being sent to identified shareholders urging them to claim their unclaimed dividend and equity shares as applicable. You are requested to take the above on your records. Thanking you.

Yours faithfully,

TATA INVESTMENT CORPORATION LIMITED

**(MANOJ KUMAR C V)
CHIEF FINANCIAL OFFICER
& COMPANY SECRETARY**

TATA INVESTMENT CORPORATION LIMITED

Elphinstone Building 10 Veer Nariman Road Mumbai 400 001
Tel 91 22 6665 8282 Fax 91 22 6665 7917 e-mail ticl@tata .com
website www.tatainvestment.com CIN L67200MH1937PLC002622



TATA INVESTMENT CORPORATION LIMITED

CIN: L67200MH1937PLC002622

Registered Office: Elphinstone Building 10 Veer Nariman Road Mumbai 400 001

Tel: 022-6665 8282, Fax No: 022-6665 7917, E-mail: ticl@tata.com Website: www.tatainvestment.com

Date: 29th March, 2022

Dear Shareholder(s),

Sub: Transfer of Equity Shares in case of unclaimed dividend in previous seven consecutive years of the Company to Investor Education and Protection Fund (IEPF)

This has reference to the captioned subject.

- a) Ministry of Corporate Affairs (MCA) has notified Rules viz. 'Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016' which have come into force from 7th September, 2016, and subsequently amended by Notification dated 28th February 2017 ('the Rules'). The said Rules, inter alia, contain provisions for transfer of all shares to IEPF in respect of which dividend has not been claimed by the shareholders for seven consecutive years or more.
- b) As per the applicable provisions of the Companies Act, 2013 (the Act), all unpaid dividends are required to be transferred by the Company to the IEPF established by the Central Government, after completion of seven years from the date they became due. Details of such unclaimed dividends are regularly updated on the website of MCA as well as the Company's website.
- c) Our records indicate that you have not claimed your dividend for the last 7 years and the amount(s) are lying unclaimed against your folio/ demat account, the details of which are given overleaf.
- d) In order to claim your outstanding dividend, we request you to ensure registration of correct bank details including your core banking account no and IFSC/ MICR code of your bank against your demat account with your Depository Participants for shares held in electronic form / Registrar and Share Transfer Agent (RTA) – TSR Darashaw Consultants Private Limited for shares held in physical form. The enclosed annexure duly signed stating your bank details should thereafter be sent to the RTA along with the following documents so as the same received not later than **12th September, 2022**.
- i. **For dividend paid on shares in electronic** : self attested copy of client master list, in case shares are held in electronic form. Payment will be made to the Bank Account registered against the demat Account;
- ii. **For dividend paid on shares in physical form**: Shareholders holding shares in physical form are requested to send the Request Form duly filled & signed and Investor Service Request Form ISR – 1, Form ISR - 2 and Form No. SH 13 (Nomination Form) duly filled as per the instructions stated therein along with the supporting documents including original cancelled cheque stating your name as the Account holder;

As per SEBI circular dated November 3, 2021 and December 14, 2021 outstanding payments will be credited directly to the bank account if the folio is KYC Compliant. The Investor Request Forms are available at the website of our RTA at : website <https://www.tcplindia.co.in> → Investor Services → Downloads → Forms → Formats for KYC. **Payment can be made to shareholders holding shares in physical form if the folio is KYC compliant;**

- e) **It may please be noted that payments without bank details are disallowed.**
- f) In case we do not receive the request from you **on or before 12th September, 2022** we shall initiate necessary action to transfer the above shares to IEPF, as per the said Rules.
- g) **In case you hold shares in physical form**: Duplicate share certificate(s) will be issued and transferred to IEPF. The original share certificate(s) which stand registered in your names and held by you, will stand automatically cancelled.
- h) **In case you hold shares in electronic form**: Your demat account will be debited for the shares liable for transfer to the IEPF.
- i) However, both the unclaimed dividend amount and the shares can be claimed from the IEPF Authority by making an application in the prescribed Form IEPF-5 online and sending the physical copy of the same duly signed (as per the specimen signature recorded with the Company) along with requisite documents enumerated in the Form IEPF-5 to the Company at their Corporate Office. Please note that no claim shall lie against the Company in respect of shares / dividend transferred to IEPF pursuant to the said Rules.
- j) The Rules and the application form (Form IEPF-5), as prescribed by the MCA for claiming back the shares/dividend, are available on the website of the Company (www.tatainvestment.com) as well as the website of MCA (www.iepf.gov.in).
- k) Please feel free to contact the Company/the Registrar & Transfer Agent (TCPL) in case you have any queries, as per details furnished hereunder:

Secretarial Department TATA INVESTMENT CORPORATION LIMITED Elphinstone Building 10 Veer Nariman Road Mumbai 400 001 Tel: 022-6665 8282, Fax No: 022-6665 7917, E-mail: ticl@tata.com	Investor Interface Section TSR DARASHAW CONSULTANTS PRIVATE LIMITED C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli West, Mumbai – 400 083 Tel No.: +91 22 6656 8484 Fax No.: +91 22 6656 8494 Email Id: csg-unit@tcplindia.co.in
--	---

Assuring you of our best services at all times.

Yours faithfully,

For Tata Investment Corporation Limited

Sd/-

(Manoj Kumar C V)

Chief Financial Officer & Company Secretary

To,
TSR DARASHAW CONSULTANTS PRIVATE LIMITED
 (CIN:U67120MH1985PLC037369)
 C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli West, Mumbai – 400 083

Dear Sir/ Madam,

Unit: Tata Investment Corporation Limited
Sub: Payment of unclaimed dividend

This has reference to the circular letter dated March 29, 2022. Please arrange to make the payment of unclaimed dividend as stated below:

(Tick (✓) whichever is applicable).

☐ I enclose the original instrument(s).

☐ I do not possess the original instrument(s).

I confirm that I have not sold my shares and continue to be the holder of shares registered in my name. I have also not encashed the instrument(s) sent to me earlier, nor have I received any money in connection with the payment(s) mentioned below:

Account No./ Folio

Warrant No.	Net Amount (Rs. P.)	Date of Payment	Last Date to Claim
		17- Aug -2015	12-Sep-2022
		28- Mar- 2016	12-Sep-2022
		23-Aug-2017	12-Sep-2022
		01-Aug-2018	12-Sep-2022
		01-Aug-2019	12-Sep-2022
		21-Aug-2020	12-Sep-2022
		07- Jul -2021	12-Sep-2022

For shareholders holding shares in physical form: I request you to update my KYC Details/ Bank Details & Nomination, as given below & stated in enclosed ISR - 1 Form, ISR - 2 Form, & SH - 13 against the above folio and accordingly, I am enclosing self-attested documents, being the documentary evidence of Identity and Address:

- Self-Attested copy of PAN card/Aadhar Card
- Self-Attested copy of Passport/ Aadhar Card/ latest Utility Bill (should not be older than 3 months)
- Investor Request Form (ISR): _____
- Original Cancelled cheque bearing the name of the shareholder(s) (for physical holding)
- Any Other : PI specify _____

Bank Name :	Branch Name:
A/c Type :	A/c No.:
MICR:	IFSC:

New Address:

For shares held in Electronic/ Dematerialized Form: New Bank Details are registered against my A/c. I am enclosing -

- Self Attested copy of the client master featuring my new address and bank details recorded against my demat account.

Place:

Date:

Signature of the first named Shareholder

Please note that in the absence of complete bank details registered against your account with RTA/ Depository Participant, payment of outstanding dividend amount cannot be effected. Payment will be made only to KYC Complaint Shareholders.



SVE



03/22



अन्तर्देशीय पत्र कार्ड
TO,

INLAND LETTER CARD

Second Fold दूसरा मोड़

भेजनेवालेका नाम और पता SENDER'S NAME AND ADDRESS

TSR DARASHAW CONSULTANTS PRIVATE LIMITED
(Unit : **TATA INVESTMENT CORPORATION LIMITED**)

C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg,
Vikhroli (West), Mumbai 400 083.

Tel. No.: +91 22 6656 8484 • Fax No.: +91 22 6656 8494

E-mail: csg-unit@tcplindia.co.in • Website: www.tcplindia.co.in

CIN : U74999MH2018PTC307859

NO ENCLOSURE ALLOWED

First Fold पहला मोड़

TSR DARASHAW CONSULTANTS PRIVATE LIMITED
(Unit : TATA INVESTMENT CORPORATION LIMITED)



C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West), Mumbai 400 083.
Tel.: +91 22 6656 8484 • Fax: +91 22 6656 8494 • E-mail: csg-unit@tcplindia.co.in • Website: www.tcplindia.co.in
CIN: U74999MH2018PTC307859 • Business Hours: Monday to Friday 10.00 a.m. to 3.30 p.m. (excluding bank holidays)

Date : March 31, 2022

URGENT & IMPORTANT
REMINDER TO CLAIM THE UNCLAIMED DIVIDEND

Dear Sir/Madam,

We wish to inform you that pursuant to the provisions of Sections 124, 125 and other applicable provisions of the Companies Act, 2013, the dividend amount(s) remaining unpaid or unclaimed for a period of seven consecutive years from the date of transfer to the Unpaid Dividend Account will be transferred by Tata Investment Corporation Limited ("the Company") to the Investor Education and Protection Fund ("IEPF") established by the Central Government.

Our records indicate that you have not claimed your dividend and the amount(s) are lying unpaid/unclaimed against your folio/demat account, the details of which are given overleaf. You are therefore requested to claim the unclaimed dividend amount(s) by returning the duly signed tear-off portion to our Registrar and Transfer Agent (RTA) - TSR Darashaw Consultants Pvt. Ltd. along with the following:

Shareholders holding shares in Demat Form: Self-attested copy of Client Master List. Payment will be made to the Bank Account registered against the demat account.

Shareholders holding shares in Physical Form: Investor Service Request Form ISR -1, Form ISR-2 and SH-13 (Nomination Form) duly filled as per the instructions stated therein along with the supporting documents including original cancelled cheque stating your name as the Account holder and self-attested copy of PAN of all holders in the folio. The Investor Request Forms are available at the website of our RTA at: website <https://www.tcplindia.co.in> Investor Services → Downloads → Forms → Formats for KYC.

Pursuant to SEBI Circular dated November 3, 2021 and December 14, 2021, outstanding payments will be credited directly to the bank account of the shareholder, if the folio is KYC Compliant. A folio will be KYC compliant on registration of all details viz. full address with pincode, mobile number, email id, bank details, Valid PAN linked to Aadhaar of all holders in the folio, nomination, etc. **Payment can be made to shareholders holding shares in physical form only if the folio is KYC compliant.**

Shareholders who have subsequently dematerialized all their shares held in the folio are required to return the tear-off portion and Form ISR-1 both duly filled along with original cancelled cheque stating the name of the shareholder / self-attested copy of first and last page of Passbook/ Bank Statement attested by the Bank. **It is to be noted that issue of payments without bank details is disallowed.**

In case where your dividend amount is outstanding for seven consecutive years and is unclaimed, the corresponding shares will also be transferred to IEPF as per the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 as amended from time to time.

Members whose unclaimed amounts are transferred to IEPF can apply for refund by making an application to the IEPF Authority electronically in e-Form IEPF-5 available on the website of the IEPF Authority at www.iepf.gov.in. Members can file only one consolidated claim in the financial year as per the said rules.

Shareholders are requested to register/update their email id against their demat account maintained by their Depository to receive all future communication electronically. Assuring you of our best services.

Yours faithfully,
For **TSR Darashaw Consultants Private Limited**
Investor Services Section

TEAR FROM HERE

To,

TSR DARASHAW CONSULTANTS PRIVATE LIMITED (Unit : TATA INVESTMENT CORPORATION LIMITED)

C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West), Mumbai 400 083.

Dear Sir/Madam,

Sub : Payment of Unpaid / Unclaimed Amount(s)

This has reference to your letter dated March 31, 2022. Kindly arrange for payment of unpaid / unclaimed amount(s) as mentioned overleaf on the basis of this advice.

[Tick (✓) whichever is applicable]

☐ I enclose the original instrument(s) as per the particulars given overleaf, kindly arrange for payment thereof.

☐ I do not possess the original instrument(s).

I confirm that I have not encashed the instrument(s) sent to me earlier nor have I received any money in connection with the payment(s) mentioned overleaf.

For shareholders holding shares in physical form:

I request you to update my KYC and Bank Details as stated in the attached Forms against the above folio and accordingly, I am enclosing the following along with self-attested documents, being the documentary evidence of Identity and Address:

- Form ISR1, Form ISR2, Nomination form
- Self-Attested copy of PAN Card / Aadhar Card of all holders in the folio
- Self-Attested copy of Passport / Aadhar Card / latest Utility Bill (should not be older than 3 months)
- Original cancelled cheque bearing the name of the shareholder(s)/ Self-attested copy of Bank Passbook / Bank Statement attested by the Bank

For shareholders holding shares in Electronic Form

I am enclosing Self-attested copy of the Client Master List featuring my new address and bank details recorded against my demat account.

Date: _____ Contact No.: _____

Email id: _____

Signature of the first holder