



5th May, 2020

Corporate Relations Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001
Scrip Code: 501301

National Stock Exchange of India Ltd.
Exchange Plaza
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051
Symbol: TATAINVEST

Dear Sir,

Sub : Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We attach herewith the Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 dated 28th April, 2020 submitted by Mr. Jimmy Panthaky, Company Secretary in Practice, for the half year ended 31st March, 2020.

Yours faithfully,

TATA INVESTMENT CORPORATION LIMITED

**(MANOJ KUMAR CV)
CHIEF FINANCIAL OFFICER &
COMPANY SECRETARY**

Encl.:a/a

TATA INVESTMENT CORPORATION LIMITED

Elphinstone Building 10 Veer Nariman Road Mumbai 400 001
Tel 91 22 6665 8282 Fax 91 22 6665 7917 e-mail ticl@tata .com
website www.tatainvestment.com CIN L67200MH1937PLC002622

Certificate pursuant to Regulation 40(9) of SEBI(LODR) Regulations, 2015

For the Half Year Ended 31st March, 2020

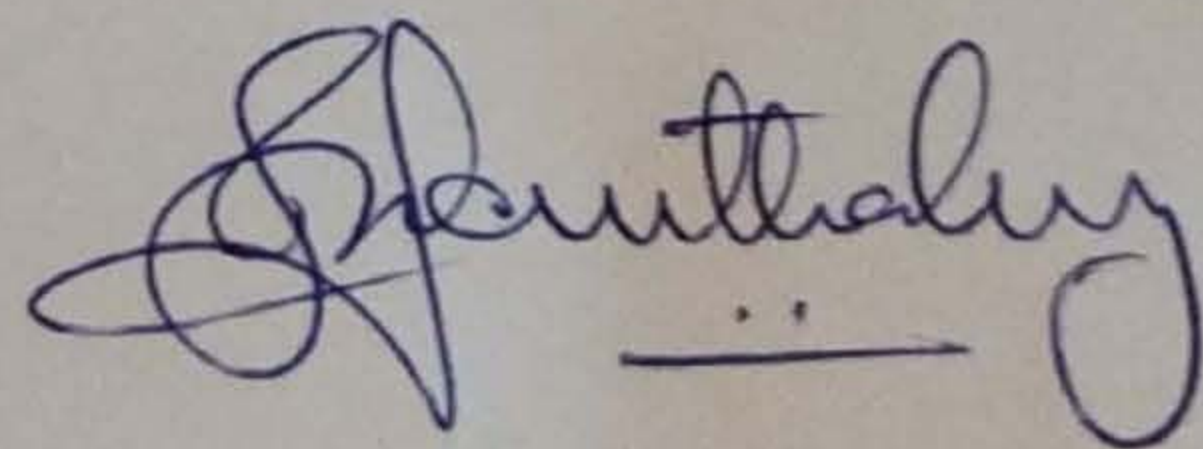
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Tata Investment Corporation Ltd.** maintained by their Registrars and Share Transfer Agents, TSR Darashaw Ltd., pertaining to the transfer of Equity Shares of the Company for the period from **October 01, 2019 to March 31, 2020** for the purpose of issuing a certificate as per clause 40(9) of the Securities & Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015 dated September 02, 2015.

I hereby certify that the Company has delivered during the half year ended **March 31, 2020**:

- i) Share Certificates relating to the transfer of Shares received during the period from **October 01, 2019 to March 31, 2020** as entered in their Memorandum of Transfers, within fifteen days of the lodgement for transfer, as also sub-division, & consolidation from the respective date of lodgement of each Deed/ Share Certificate, except those rejected on technical grounds.
- ii) Share Certificates relating to Transmission of Shares received during the period from **October 01, 2019 to March 31, 2020** within twenty-one days of lodgement for Transmission along with supporting documents, and
- iii) Share Certificates in respect of issue of Duplicate Share Certificates within one month of the request.

April 28, 2020

Mumbai



JIMMY N.PANTHAKY

COMPANY SECRETARY IN PRACTICE

FCS- 738

Certificate of Practice No. 5044