



14th January, 2020

Department of Corporate Services -Listing
Corporate Relationship Department
BSE Ltd.
Mumbai.
Scrip Code : 501 301

National Stock Exchange of India Ltd.
Bandra Kurla Complex
Bandra (East)
Mumbai.
Scrip Code : TATAINVEST

Dear Sir,

**Sub : Regulation 31 of the SEBI (Listing Obligations and
Disclosure Requirements) Regulations 2015**

We confirm the statement under Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, in respect of the Shareholding Pattern of equity shares of our Company for the quarter ended 31st December, 2019.

Yours faithfully,

TATA INVESTMENT CORPORATION LIMITED

**(MANOJ KUMAR CV)
CHIEF FINANCIAL OFFICER &
COMPANY SECRETARY**

TATA INVESTMENT CORPORATION LIMITED

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TATA INVESTMENT CORPORATION LIMITED

Annexure - I

Format of holding of specified securities

- | | | |
|----|--|--|
| 1 | Name of Listed Entity | TATA INVESTMENT CORPORATION LIMITED |
| 2 | Scrip Code/Name of Scrip/Class of Security | 501301/TATAINVEST/EQUITY |
| 3 | Share Holding Pattern Filed under | Reg. 31(1)(a) /Reg. 31(1)(b)/Reg. 31(1)(c) |
| a. | If under 31(1)(b) then indicate the report for Quarter ending | 31st Dec, 2019 |
| b. | If under 31(1)(c) then indicate date of allotment/extinguishment | NOT APPLICABLE |
| 4 | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- | |

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



TATA INVESTMENT CORPORATION LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group as of 31st December, 2019

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group as of 31st December, 2019																			
	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									(IX)			(XII)			(XIII)				
									No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No.	As a % of total shares held	
									Class X	Class Y	Total								
(1)	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)	
(1)	Indian																		
(a)	Individuals/Hindu undivided Family Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government/ State Government(s) Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/ Banks Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Bodies Corporate)		8	37128947	0	0	37128947	73.38	37128947	0	37128947	73.38	0	73.38	0	0.00	0	0.00	37128947
	(i) Tata Sons Private Limited	AAACT4060A	1	34,664,663	0	0	34664663	68.51	34664663	0	34664663	68.51	0	68.51	0	0.00	0	0.00	34,664,663
	(ii) Ewart Investments Limited	AAACE2546C	1	805,843	0	0	805843	1.59	805843	0	805843	1.59	0	1.59	0	0.00	0	0.00	805,843
	(iii) Af-Taab Investment Company Limited	AAACA4800H	1	794,416	0	0	794416	1.57	794416	0	794416	1.57	0	1.57	0	0.00	0	0.00	794,416
	(iv) Tata Chemicals Ltd	AAACT4059M	1	441,015	0	0	441015	0.87	441015	0	441015	0.87	0	0.87	0	0.00	0	0.00	441,015
	(v) Tata Steel Limited	AAACT2803M	1	228,015	0	0	228015	0.45	228015	0	228015	0.45	0	0.45	0	0.00	0	0.00	228,015
	(vi) Tata Global Beverages Limited	AABCT0602K	1	146,872	0	0	146872	0.29	146872	0	146872	0.29	0	0.29	0	0.00	0	0.00	146,872
	(vii) Trent Limited	AAACL1836J	1	31,793	0	0	31793	0.06	31793	0	31793	0.06	0	0.06	0	0.00	0	0.00	31,793
	(viii) Trent Brands Limited	AAACL1047P	1	16,330	0	0	16330	0.03	16330	0	16330	0.03	0	0.03	0	0.00	0	0.00	16,330
	Sub-Total (A)(1)		8	37128947	0	0	37128947	73.38	37128947	0	37128947	73.38	0	73.38	0	0	0	0.00	37128947
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals) Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(f)	Any Other (specify) Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	8	37128947	0	0	37128947	73.38	37128947	0	37128947	73.38	0	73.38	0	0.00	0	0.00	37128947
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note:																			
(1)	PAN would not be displayed on website of Stock Exchange(s).																		
(2)	The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		



TATA INVESTMENT CORPORATION LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder as of 31st December, 2019

Table III - Statement showing shareholding pattern of the Public Shareholder as of 31st December, 2019																			
	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form		
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX) No of Voting Rights			Total as a % of Total Voting rights	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
									Class X	Class Y	Total				No.	As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)	
															(a)	(b)	(a)	(b)	
(1)	Institutions																		
(a)	Mutual Funds/UTI		4	405,754	0	0	405754	0.80	405754	0	405754	0.80	0	0.80	0	0	NA	405754	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
(c)	Alternate Investment Funds Name (Xyz)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
(d)	Foreign Venture Capital Investors Name(Xyz)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
(e)	Foreign Portfolio Investors		41	1,069,494	0	0	1069494	2.11	1069494	0	1069494	2.11	0	2.11	0	0	NA	1,069,494	
(f)	Financial Institutions/ Banks Name(Xyz)		11	13,650	0	0	13650	0.03	13650	0	13650	0.03	0	0.03	0	0	NA	11,243	
(g)	Insurance Companies		4	564,957	0	0	564957	1.12	564957	0	564957	1.12	0	1.12	0	0	NA	564,657	
(h)	Provident Funds/ Pension Funds Name(Xyz)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
	Sub-Total (B)(1)		60	2053855	0	0	2053855	4.06	2053855	0	2053855	4.06	0	4.06	0	0	NA	2051148	
(2)	Central Government/ State Government(s)/ President of India		7	406118	0	0	406118	0.80	406118	0	406118	0.80	0	0.80	0	0	NA	188500	
	Name(Xyz)																		
	Sub-Total (B)(2)		7	406118	0	0	406118	0.80	406118	0	406118	0.80	0	0.80	0	0	NA	188500	
(3)	Non-institutions																		
(a)	Individuals -		30293	9137358	0	0	9137358	18.06	9137358	0	9137358	18.06	0	18.06	0	0	NA	8882313	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		30,255	6,216,185	0	0	6216185	12.29	6216185	0	6216185	12.29	0	12.29	0	0	NA	5,961,140	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		38	2,921,173	0	0	2921173	5.77	2921173	0	2921173	5.77	0	5.77	0	0	NA	2,921,173	
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	



	Category & Name of the Shareholders	PAN	Nos. of shareholde r	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held In each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form		
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	No of Voting Rights			Total as a % of Total Voting rights	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
									Class X	Class Y	Total							(a)	
	Name(Xyz)																NA		
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0	
	Name(Xyz)																NA		
(e)	Any Other -		2522	1869018	0	0	1869018	3.69	1869018	0	1869018	3.69	0	3.69	0	0	NA	1862412	
	Bodies Corporate		275	912,959	0	0	912959	1.80	912959	0	912959	1.80	0	1.80	0	0		906,654	
	Clearing Members		132	123,969	0	0	123969	0.25	123969	0	123969	0.25	0	0.25	0	0		123,969	
	Director or Director's Relatives		8	54,313	0	0	54313	0.11	54313	0	54313	0.11	0	0.11	0	0		54,313	
	HUF		1,261	257,842	0	0	257842	0.51	257842	0	257842	0.51	0	0.51	0	0		257,841	
	IEPF		1	41,241	0	0	41241	0.08	41241	0	41241	0.08	0	0.08	0	0	NA	41,241	
	LLP		27	102,966	0	0	102966	0.20	102966	0	102966	0.20	0	0.20	0	0	NA	102,966	
	Non-Resident Indian (NRI)		805	359,750	0	0	359750	0.71	359750	0	359750	0.71	0	0.71	0	0	NA	359,450	
	Trusts		12	15,514	0	0	15514	0.03	15514	0	15514	0.03	0	0.03	0	0	NA	15,514	
	Others -Bodies Corporate-Non-NBFC		1	464	0	0	464	0.00	464	0	464	0.00	0	0.00	0	0	NA	464	
	Sub-Total (B)(3)		32815	11006376	0	0	11006376	21.75	11006376	0	11006376	21.75	0	21.75	0	0	NA	10744725	
	Total Public Shareholding		32882	13466349	0	0	13466349	26.62	13466349	0	13466349	26.62	0	26.62	0	0	NA	12984373	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																			
Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note:																			
(1)	PAN would not be displayed on website of Stock Exchange(s).																		
(2)	The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																		
(3)	W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																		



